

## Quarterly Compliance Report on Corporate Governance

Name of the Company : **Dynamic Portfolio Management & Services Limited**

Quarter Ending on : **31<sup>st</sup> December 2014**

Particulars	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
<b>II. Board of Directors</b>	<b>49 II</b>		
(A) Composition of Board	49 (IIA)	Yes	N.A.
(B) Independent Directors	49 (IIB)	Yes	N.A.
(C) Non-executive Directors' compensation & disclosures	49 (IIC)	Yes	N.A.
(D) Other provisions as to Board and Committees	49 (IID)	Yes	N.A.
(E) Code of Conduct	49 (IIE)	Yes	N.A.
(F) Whistle Blower Policy	49 (IIF)	Yes	N.A.
<b>III. Audit Committee</b>	<b>49 (III)</b>		
(A) Qualified & Independent Audit Committee	49 (IIIA)	Yes	N.A.
(B) Meeting of Audit Committee	49 (IIIB)	Yes	N.A.
(C) Powers of Audit Committee 49 (IIC)	49 (IIIC)	Yes	N.A.
(D) Role of Audit Committee	49 (IIID)	Yes	N.A.
(E) Review of Information by Audit Committee	49 (IIIE)	Yes	N.A.
<b>IV. Nomination &amp; Remuneration Committee</b>	<b>49 (IV)</b>	Yes	N.A.
<b>V. Subsidiary Companies</b>	<b>49 (V)</b>	N.A.	N.A.
<b>VI. Risk Management</b>	<b>49 (VI)</b>	Yes	N.A.
<b>VII. Related Parties Transactions</b>	<b>49 (VII)</b>	Yes	N.A.
<b>VIII. Disclosures</b>	<b>49 (VIII)</b>		
(A) Related Party Transactions	49 (VIII A)	Yes	Will be Complied in next Annual Report
(B) Disclosure of Accounting Treatment	49 (VIII B)	Yes	Will be Complied in next Annual Report
(C) Remuneration to Directors	49 (VIII C)	Yes	Will be Complied in next Annual Report
(D) Management	49 (VIII D)	Yes	Will be Complied in next Annual Report
(E) Shareholders	49 (VIII E)	Yes	Will be Complied in next Annual General Meeting
(F) Proceeds from Public Issues, Rights Issues, Preferential Issues etc	49 (VIII F)	N.A.	N.A.
<b>IX. CEO/CFO Certification</b>	<b>49 (IX)</b>	Yes	Will be Complied in next Annual Report
<b>X. Report on Corporate Governance</b>	<b>49 (X)</b>	Yes	Will be Complied in next Annual Report
<b>XI. Compliance</b>	<b>49 (XI)</b>	Yes	Will be Complied in next Annual Report

For **DYNAMIC PORTFOLIO MANAGEMENT & SERVICES LIMITED**

*Ravi Newatia*

**RAVI NEWATIA  
MANAGING DIRECTOR**



Place : Kolkata

Date : January 10, 2015